IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :

Kranz et al.

For:

Serial No. 10/015,536

Filed: December 10, 2001

Group Art Unit: 1646

Examiner: Not yet assigned

Confirmation No. 9971

MUTATED CLASS II MAJOR HISTOCOMPATIBILITY

PROTEINS AND COMPLEXES

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Express Mail Receipt No: EL 827 990 929 US

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents **Box Missing Parts**

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Filing Date Granted mailed February 5, 2002 included herewith are:

Check in the amount of \$701.00 (\$370.00 filing fee; \$126.00 for 14 claims over 20; \$140.00 for multiple dependent claim surcharge; \$65.00 surcharge)

Copy of Formalities Letter (Notice to File Missing Parts)

Executed Inventors' Declaration in two counterparts

Copy of Sequence Statement filed with the application December 10, 2001

Copy of receipt postcard submitted with the application December 10, 2001 listing Statement under 37 CFR 1.821-824.

Upon recalculating the number of claims, Applicant believes the correct number to be 34 and accordingly is enclosing herewith fees sufficient for 14 claims over 20.

The Notice states that a statement that the content of the sequence listing in computer readable form and on paper are identical was not provided. Applicant provides herewith a copy of the receipt postcard submitted with the application and which lists a Sequence Statement. The Patent Office has not indicated on the postcard that the Sequence Statement was not received; however, Applicant respectfully provides a copy of the Statement which was filed December 10, 2001.

If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Donna M. Ferber

Reg. No. 33,878

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Attorney docket No. 103-00 bmk: February 26, 2002



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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/015,536

12/10/2001

David M. Kranz

103-00

23713 GREENLEE WINNER AND SULLIVAN P C 5370 MANHATTAN CIRCLE SUITE 201 BOULDER, CO 80303 PER 26 2002 CO 300 PER 26 20 PER 26 200 PER

CONFIRMATION NO. 9971

FORMALITIES LETTER

OC0000007425752

04/08/2002 Y6IZAW

00000022 10015536

01 FC:203

63.00 OP

Date Mailed: 02/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/05/2002 SHIMASS1 00000032 10015536

01 FC:201 02 FC:202 03 FC:204 04 FC:205 370.00 OP 126.00 OP 140.00 OP 65.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Adjustment date: 04/08/2002 Y61ZAV 03/05/2002 MINASSI 00000032 10015536 02 FC:202 -126.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$203.
 - \$63 for 7 total claims over 20.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 638.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600

Repln. Ref: 04/06/2002 YGIZAW 0014062700 DAW:071969 Name/Number:10015536 FC: 704 \$63.00 CR



A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE